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United Kingdom
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Account Application Form

Please check all the relevant boxes and fill out each field using **BLOCK** capital letters. For faster processing ensure that the form is fully/correctly completed.

I. Type of Account

☐ Individual ☐ Partnership ☐ Corporate ☐ Joint Tenant ☐ Community property ☐ Investment Club ☐ Trust ☐ Custodian ☐ Sole ownership

II. Account Owner Information - Primary Account Owner

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.

First Name	Street Address	
Middle Name		
Last Name	Postal Code	City
Date of Birth	Country	

Work phone	E-mail Address
Mobile phone	

Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed <input type="checkbox"/> Number of dependants _____
Employment status	<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Not-employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other

Citizenship

Are you a Citizen of the United Kingdom?

☐ YES

Please contact our Trading Department to apply for a domestic account.

☐ NO

Complete section below.

Non UK Citizens

Country of citizenship _____

Are you a permanent UK resident? ☐ Yes ☐ No

If you plan to be a resident of the UK for 183 days or more, please contact our Trading Department to apply for a domestic account.

II. Account Owner Information — Co-Account Owner (if applicable)

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.

First Name	Street Address	
Middle Name		
Last Name	Postal Code	City
Date of Birth	Country	

Work phone	E-mail Address
Mobile phone	

Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Divorced	<input type="checkbox"/> Widowed	<input type="checkbox"/> Number of dependants _____
Employment status	<input type="checkbox"/> Employed	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Not-employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Student <input type="checkbox"/> Other

Citizenship

Are you a Citizen of the People's Republic of China (PRC)?

☐ YES

Please contact our Trading Department to apply for a domestic account.

☐ NO

Complete section below.

Non PRC Citizens

Country of citizenship _____

Are you a permanent PRC resident? ☐ Yes ☐ No

If you plan to be a resident of the PRC for 183 days or more, please contact our Trading Department to apply for a domestic account.

III. Financial Information

Investment Knowledge	<input type="checkbox"/> None	<input type="checkbox"/> Limited	<input type="checkbox"/> Good	<input type="checkbox"/> Excellent
Investment Experience	<input type="checkbox"/> None	<input type="checkbox"/> Limited	<input type="checkbox"/> Good	<input type="checkbox"/> Excellent

Annual Income (from all sources)	<input type="checkbox"/> \$15,000-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000+
Net Worth (excluding your residence)	<input type="checkbox"/> \$15,000-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000+
Liquid Net Worth	<input type="checkbox"/> \$15,000-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000-\$499,999	<input type="checkbox"/> \$500,000-\$999,999	<input type="checkbox"/> \$1,000,000+

IV. Financial Activities

For joint accounts please include combined amounts.

Securities	<input type="checkbox"/> 0-9	<input type="checkbox"/> 10-14	<input type="checkbox"/> 15-24	<input type="checkbox"/> 25-74	<input type="checkbox"/> 75+
Currencies	<input type="checkbox"/> 0-9	<input type="checkbox"/> 10-14	<input type="checkbox"/> 15-24	<input type="checkbox"/> 25-74	<input type="checkbox"/> 75+
Commodities	<input type="checkbox"/> 0-9	<input type="checkbox"/> 10-14	<input type="checkbox"/> 15-24	<input type="checkbox"/> 25-74	<input type="checkbox"/> 75+
Options	<input type="checkbox"/> 0-9	<input type="checkbox"/> 10-14	<input type="checkbox"/> 15-24	<input type="checkbox"/> 25-74	<input type="checkbox"/> 75+
Futures	<input type="checkbox"/> 0-9	<input type="checkbox"/> 10-14	<input type="checkbox"/> 15-24	<input type="checkbox"/> 25-74	<input type="checkbox"/> 75+
Average transaction size	<input type="checkbox"/> 0-\$9,999	<input type="checkbox"/> \$10,000-\$24,999	<input type="checkbox"/> \$25,000-\$49,999	<input type="checkbox"/> \$50,000-\$99,999	<input type="checkbox"/> \$100,000+

V. Investment Objective

Review the investment objectives before making your selection below:

Income	Seeking current cash flow in exchange for a reduction of potential capital appreciation.
Hedging	Seeking to reduce the risk of adverse price movements in a security.
Speculation	Seeking capital appreciation. This objective involves investments that can have significant fluctuations in value. It involves a high level of risk, which can lead to a significant loss in principal.

*To determine your trading level, we consider several factors, including your trading experience and financial information. Checking "Speculation" below does not guarantee that you will be approved for all trading levels.

Investment objective (check only one)	<input type="checkbox"/> Income and hedging <input type="checkbox"/> Hedging and speculation <input type="checkbox"/> Income and speculation <input type="checkbox"/> Speculation
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VI. Account Maintenance Instructions

Check the box representing how would you like us to handle the following

Dividends	<input type="checkbox"/> Hold	<input type="checkbox"/> Transfer if requested	<input type="checkbox"/> Other _____
Securities	<input type="checkbox"/> Hold	<input type="checkbox"/> Transfer if requested	<input type="checkbox"/> Other _____
Proceeds of sale	<input type="checkbox"/> Hold	<input type="checkbox"/> Transfer if requested	<input type="checkbox"/> Other _____

Send all correspondence to:	
<input type="checkbox"/> E-mail:	<input type="checkbox"/> Fax

VII. Signature(s) of All Account Owner

By applying for authorization to trade and signing this Application, I/We acknowledge that I/We have read and agreed the Clearview Independent Financial Advisers, Ltd Terms and Conditions as currently set on <https://clearviewifal.net/>

I/We represent that I/We are aware of the inherent risks of option trading and that I/We are financially able to bear such risks and withstand trading losses, including the loss of my/our entire investment. I/We understand that Clearview Independent Financial Advisers, Ltd may periodically request new financial information and review my authorization to trade.

My/Our Account will be handled in accordance with the rules of the applicable Exchange and/or regulatory agencies, and I/We agree to conduct activity in my/our Account in accordance with such rules.

I/We are of legal age to enter into this contract. I/We acknowledge that Clearview Independent Financial Advisers, Ltd does not provide tax or legal advice.

I/We understand that I/We will not supply my name to issuers of any financial services, unless I notify Clearview Independent Financial Advisers, Ltd to do so.

I/We declare that the answers given, whether in my/our handwriting or not, are true and complete to the best of my/our knowledge and belief. I/We also agree to inform Clearview Independent Financial Advisers, Ltd of any change of name, change of address etc that may occur during the life of this policy.

Account Owner Name (<i>Printed Name</i>)		Co-Account Owner Name (<i>Printed Name</i>)	
Date	Account Owner Signature	Date	Co-Account Owner Signature